Notification of Gift of Securities In-Kind

Brock University is grateful for your gift of securities. Under current tax regulations, your donation of publicly traded securities is exempt from capital gains tax **only** when you transfer the securities in-kind. A charitable donation receipt for income tax purposes will be issued based on the fair market value of the securities on the date (closing price) the securities are received into the University's brokerage account.

Our policy is to sell your gifted securities immediately upon clearing into our account, usually the day after your transfer. Given the volatility of today's market, the starting balance of any endowment or value of gift, will be the net realized cash value of the security sale.

To donate publicly traded securities, follow these simple steps:

1. Fax a copy to Kelly Sodtka (905) 641-5216. You may also e-mail it or call to notify us of your intention.

2. Please instruct your broker to complete the transfer of the securities-in-kind to the University's account.

3. For questions about the gift of securities transfer process, please contact Kelly at (905) 688-5550 x4976 or e-mail at ksodtka@brocku.ca

Your broker may contact us directly or if you prefer, we can contact your broker to make your donation.

Brock University
Niagara Region
500 Glenridge Avenue
St. Catharines, Ontario L2S 3A1
brocku.ca
Charitable Organization No. 11881 9531 RR0001

Donor Information:

Donor Name: _____

Address: _____

City: _____ Postal Code: _____

Telephone: _____

E-mail: _____

Gift Planning Officer: _____

Securities Being Transferred From:

Name of Delivering Institution: _____

Contact Name: _____

Telephone: _____

Name of the Securities: _____

# of Shares/Units: _____

Approximate Date of Transfer: _____

- -

Donor Signature: _____

Date: _____

- -

Securities Being Transferred To:

Account Name: Brock University
Account #43919744-19
(FINS#T085, DTC#5011, Broker Code 83P)

ScotiaMcLeod, Transit #81398
P.O. Box 4085, Station "A" Scotia Plaza
Toronto, Ont.
M5W 2X6

David Butler (Acct. Rep.), Judith Ross (Investment Assoc.)
Tel: 905-641-7700 (St. Catharines branch)
Fax: 905-688-9722

Thank you for your support!

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