



## Notification of Gift of Securities In-Kind

Brock University is grateful for your gift of securities. Under current tax regulations, your donation of publicly traded securities is exempt from capital gains tax only when you transfer the securities in-kind. A charitable donation receipt for income tax purposes will be issued based on the fair market value of the securities on the date (closing price) the securities are received into the University's brokerage account.

Our policy is to sell your gifted securities immediately upon clearing into our account, usually the day after your transfer. Given the volatility of today's market, the starting balance of any endowment or value of gift, will be the net realized cash value of the security sale.

To donate publicly traded securities, follow these simple steps:

1. Fax a copy to Kelly Sodtka (905) 641-5216. You may also e-mail it or call to notify us of your intention.

2. Please instruct your broker to complete the transfer of the securities-in-kind to the University's account.

3. For questions about the gift of securities transfer process, please contact Kelly at (905) 688-5550 x4976 or e-mail at [ksodtka@brocku.ca](mailto:ksodtka@brocku.ca)

Your broker may contact us directly or if you prefer, we can contact your broker to make your donation.

Brock University  
Niagara Region  
500 Glenridge Avenue  
St. Catharines, Ontario L2S 3A1  
[brocku.ca](http://brocku.ca)  
Charitable Organization No. 11881 9531 RR0001

### Donor Information:

Donor Name: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Telephone: \_\_\_\_\_

E-mail: \_\_\_\_\_

Gift Planning Officer: \_\_\_\_\_

### Securities Being Transferred From:

Name of Delivering Institution: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Telephone: \_\_\_\_\_

Name of the Securities: \_\_\_\_\_

# of Shares/Units: \_\_\_\_\_

Approximate Date of Transfer: \_\_\_\_\_

Donor Signature: \_\_\_\_\_

Date: \_\_\_\_\_

### Securities Being Transferred To:

Account Name: Brock University  
Account #581-24276-1-4 (FINS #T002, DTC#5002)

RBC Dominion Securities Inc.  
Account Transfer Department  
200 Bay St., Royal Bank Plaza  
North Tower, 6<sup>th</sup> Floor  
Toronto, Ontario, M5J 2W7

Peter Partridge (Acct.Rep), Melanie Czeban (Investment Assoc.)  
Tel: 905-988-5703 (St. Catharines branch)  
Fax: 905-988-5889

Thank you for your support!

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